



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Hosheng Tu et al.	Group Art Unit: 3763
Appl. No.	:	10/824,052	
Filed	:	April 14, 2004	
For	:	GLAUCOMA TREATMENT DEVICE	
Examiner	:	Unknown	

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
P.O. Box 1450  
Office of Initial Patent Examination  
Customer Service Center  
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request that the Official Filing Receipt, a copy of which is enclosed, be corrected to reflect the correct spelling of the second-named inventor as **Olav B. Bergheim**. A copy of the Declaration is also enclosed to confirm the spelling of the inventor's name.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 1/11/05

By: 

James W. Hill, M.D.  
Registration No. 46,396  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

JLW RECEIPT  
Page 1 of 2GLAUKO.1CP1C1  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/824,052	04/14/2004	3763	385	GLAUKO.1CP1C1	10	1	1

CONFIRMATION NO. 6106

20995  
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IRVINE, CA 92614

## FILING RECEIPT



\*OC000000013708412\*

Date Mailed: 09/02/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Hosheng Tu, Tustin, CA;  
~~Olav R. Bergheim~~, Laguna Hills, CA;  
Morteza Gharib, San Marino, CA;

Olav B. Bergheim

Power of Attorney: The patent practitioners associated with Customer Number 20995.

## Domestic Priority data as claimed by applicant

This application is a CON of 09/704,276 11/01/2000 PAT 6,736,791  
which is a CIP of 09/549,350 04/14/2000 PAT 6,638,239

## Foreign Applications

If Required, Foreign Filing License Granted: 09/02/2004

The number of your priority application, to be used for filing abroad under the Paris Convention is,  
**US10/824,052**

Projected Publication Date: 12/09/2004

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\*****Title**

Glaucoma treatment device

**Preliminary Class**

604

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

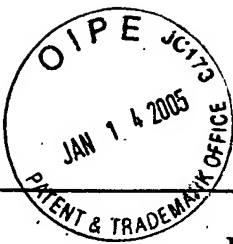
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**NOT GRANTED**

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**COPY**

Attorney's Docket No. GLAUKO.001CP1

**DECLARATION - USA PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled GLAUCOMA TREATMENT DEVICE; the specification of which was filed on November 1, 2000 as Application Serial No. 09/704,276.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56;

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below, and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S.A. Application(s)

Application No.: 09/549,350

Filing Date: April 14, 2000 Status: Pending

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: Hosheng Tu

Inventor's signature Hosheng Tu

Date 2-5-2001

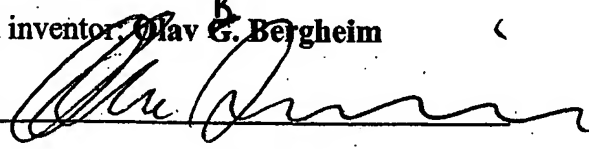
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**COPY**

Full name of second inventor: Olav G. Bergheim

Inventor's signature 


Date February 16, 2001

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Citizenship: Norway

Post Office Address: Same as Above

Full name of third inventor: Morteza Gharib

Inventor's signature 

Date 21st February 2001

Residence: 556 South Berkeley Avenue, San Marino, CA 91108

Citizenship: United States

Post Office Address: Same as Above

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Knobbe, Martens, Olson & Bear, LLP

Customer No. 20,995

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